



A measurement and model study on ozone characteristics in marine air at a remote island station and its interaction with urban ozone air quality in Shanghai, China

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Abstract. To understand the characteristics and changes of baseline ozone (O_3) in oceanic air in eastern China, a 6-year measurement of O_3 concentration was conducted from 1 January 2012 to 15 September 2017 at a remote offshore station located on Sheshan Island (SSI) near the megacity of Shanghai. The observed monthly mean O_3 concentrations at SSI ranged from 33.4 to 61.4 ppbv during the study period, which were about 80 % and 12 % higher, respectively, than those measured at downtown and rural sites in Shanghai. Compared to the remarkable O_3 increases observed at urban and rural sites in Shanghai, observed O_3 concentrations at SSI exhibited statistically insignificant increasing changes ($1.12 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) during the observation period, suggesting less impacts of anthropogenic emissions on O_3 levels in oceanic air. In addition, an insignificant decreasing change ($-0.72 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) was detected in O_3 concentrations at SSI in September and October when the influence of regional transport was minimum throughout the year, providing a good proxy to study the baseline oxidation capacity of the oceanic atmosphere. City plumes from Shanghai usually carried higher levels of NO_x , resulting in decreased O_3 concentrations at SSI during southwesterly and westerly winds. However, in MAM (March–May) and JJA (June–August), due to the enhanced production of oxygenated volatile organic compounds, O_3 could be continuously produced during

daytime in aged city plumes, resulting in elevated O_3 concentrations transported to SSI. The impacts of the offshore O_3 on O_3 levels in Shanghai are quantified during an easterly wind dominant episode (1–30 September 2014) using the WRF-Chem model (Weather Research and Forecasting model coupled with Chemistry). Sensitivity results suggest that O_3 in the oceanic air inflows can lead to 20 %–30 % increases in urban O_3 concentrations, which should be crucially considered in dealing with urban O_3 pollution in large coastal cities like Shanghai.

1 Introduction

Ground-level ozone (O_3) is a harmful photochemical oxidant detrimental to air quality, human health and land ecosystems (Yue and Unger, 2014; Monks et al., 2015; Li et al., 2019a). High ambient O_3 has been proved to increase the risks of respiratory and cardiovascular mortality (Goodman et al., 2015) and enhance the greenhouse effect (IPCC, 2013). In recent years, O_3 pollution has drawn increasing attention in China, since O_3 pollution is getting worse in spite of the implementation of the Chinese Clean Air Action Plan. In contrast to the 28 %–40 % decreases in $PM_{2.5}$ (fine particulate matter, diameter $\leq 2.5 \mu\text{m}$) levels, the observed daily maximum 8 h aver-

age (MDA8) O₃ concentrations show increasing rates of 1–3 ppb yr⁻¹ in summer in megacities over eastern China from 2013 to 2017 (Li et al., 2019b). To address the underlying causes of the increasing O₃ pollution has become an urgent issue that triggers lots of discussions based on observational and model studies worldwide (Yang et al., 2014; Lou et al., 2015; Fu et al., 2019).

Observational and model studies indicated that the elevated O₃ levels in urban and rural areas in eastern China were strongly related to the changes in anthropogenic emissions of O₃ precursors (Ma et al., 2016; Lu et al., 2018; Li et al., 2019b; Gu et al., 2020). Since the O₃ formation was reported to be under a volatile-organic-compound-limited (VOC-limited) regime in most Chinese megacities (e.g., Beijing, Shanghai and Guangzhou), the sharp decreases in nitrogen oxides (NO_x = NO + NO₂) emissions combined with slight increases in VOC levels were suggested to be main causes of the observed enhancement of O₃ concentrations in eastern China (Gao et al., 2017; Xu et al., 2019). In remote areas, changes of baseline O₃ also exhibit sensitive responses to human activities (Vingarzan, 2004; Meng et al., 2009; Wang et al., 2009; Lin et al., 2015). Based on 14-year observations at a coastal site in Hong Kong SAR, Wang et al. (2009) pointed out that enhanced pollution flow from the upwind coastal regions contributed to most of the observed O₃ increases in the background atmosphere of South China from 1994 to 2007. And the increase in background O₃, in turn, made a strong contribution of 81 % to the increasing rate of O₃ in urban Hong Kong SAR. It is thus necessary to understand the background O₃ changes and their responses to different sources when developing long-term strategies to mitigate local O₃ pollution. However, compared to the intensive field studies in polluted cities and surrounding rural regions, continuous observations of O₃ at representative background sites in China are relatively limited (Wang et al., 2017).

To better understand the characteristics of the background O₃ changes in mainland China, the China Meteorological Administration (CMA) started to conduct continuous measurements of surface O₃ at several regional background stations (e.g., Shangdianzi, Lin'an and Longfengshan) in 2005. Based on 10-year records from those sites and Waliguan, a baseline Global Atmospheric Watch (GAW) station in Tibetan Plateau region, different increases in background continental O₃ concentrations were exhibited, especially during daytime in China (Lin et al., 2008; Xu et al., 2008; Meng et al., 2009; Ma et al., 2016; Xu et al., 2016). The detected positive trends of O₃ were in a range of 0.24–1.13 ppb yr⁻¹, suggesting enhanced atmospheric oxidation capacity of continental air responding to the rapid development of urbanization and industrialization in the past decades. In addition to the changes at background O₃ in terrestrial stations mentioned above, the characteristics of baseline O₃ at remote marine sites are also important. This is because large amounts of O₃ pollution events occurred in coastal urban agglomera-

tions in eastern China (Lu et al., 2018; Li et al., 2019a, b), affected by both city plumes and oceanic air inflows (Tie et al., 2009; Shan et al., 2016). For example, model work of Tie et al. (2009) suggested that sea air masses carried by oceanic inshore air flows aggravated urban O₃ pollution in Shanghai under convergence conditions. Understanding the O₃ characteristics in offshore oceanic regions is therefore an important prerequisite for understanding the land–sea O₃ interactions and its impacts on O₃ pollution in coastal cities. However, to our knowledge, studies on the characteristics and changes of O₃ in marine air are quite limited in mainland China, since it is very difficult to conduct systematic and continuous observations under remote oceanic air conditions.

In this report, we present the first relatively long and continuous measurements of O₃ conducted on a remote offshore island (Sheshan Island, SSI) from January 2012 to September 2017 in eastern China. The SSI is located at the confluence of the Yellow Sea and the East China Sea, covering an area of about 0.4 km². Since there are no inhabitants on the island, the observed O₃ is seldom affected by local anthropogenic emissions. The collected O₃ data are used to understand the levels and variabilities of O₃ in the offshore regions and their impacts on the O₃ concentrations in coastal city areas. First shown are the general impacts of regional transport on the remote atmosphere over the SSI region. Then the diurnal patterns of O₃ at SSI are investigated by comparing them with those observed at a downtown site (Xujiahui, XJH) in Shanghai. Multiyear changes of O₃ concentrations at SSI are analyzed to examine the overall changes of baseline O₃ in marine air and possible causes. Also analyzed are the impacts of urban plumes on O₃ levels in oceanic air in offshore regions. Finally, the influence of O₃ carried by oceanic air inflows on urban O₃ air quality in Shanghai is assessed using the Weather Research and Forecasting model coupled with Chemistry (WRF-Chem).

2 Material and methods

2.1 The SSI site and ozone observations

To investigate the characteristics and variabilities of O₃ in marine air and their interactions with urban air quality in coastal areas, ground O₃ concentrations were continuously measured at the SSI site (31.4° N, 122.3° E, 73.5 m a.s.l.), which is approximately 75 km away from the eastern edge of Shanghai. Figure 1 shows the location of SSI and the surrounding environment. As mentioned in Sect. 1, there are no residents and tourists on the island. The observed O₃ at the SSI site can represent the background O₃ conditions in oceanic air, which are seldom contaminated by anthropogenic emissions. Hourly O₃ data were collected from 1 January 2012 to 15 September 2017, with a capture rate of 89.7 %. O₃ was measured using an analyzer from Ecotech, Australia (model EC9810), which combined microprocessor

control with ultraviolet photometry. The instrument met the technical specifications for the United States Environmental Protection Agency, with a quality control check every 3 d, filter replaced every 2 weeks and calibration every month.

2.2 Observational data at urban and rural sites in Shanghai

To better understand the characteristics of the offshore O₃ in oceanic air at SSI, O₃ observations obtained from a downtown site, Xujiahui (XJH) are used for comparisons. The XJH site is located in downtown Shanghai, approximately 80 km west of SSI. Since measurements of NO_x, carbon monoxide (CO) and meteorological parameters (e.g., wind direction and wind speed) were unavailable at SSI, observations obtained at an adjacent site, Dongtan (DT), are substituted for the investigation. The DT site was set up in a national nature reserve near the coast of Shanghai, where the observed pollutant levels have been reported to well reflect the impacts of megacities in the Yangtze River Delta (YRD) region on the remote atmosphere during the MIRAGE-Shanghai (Megacities Impact on Regional and Global Environment at Shanghai) field campaign (Tie et al., 2013). Similar to SSI, the DT site is also little affected by human activities. The obtained observations of meteorology and pollutants are therefore applied for analyzing the impacts of regional transport on observed O₃ concentrations at SSI. NO_x concentrations were measured with a chemiluminescent trace level analyzer (Thermo Environmental Instruments Model 42i-TL), with a detection limit of 0.025 ppb. CO concentrations were measured by a Model 48i-TLE CO analyzer, based on gas filter correlation technology. The wind speed and wind direction were measured by using a DZZ4 Automatic Weather Station certificated by the China Meteorological Administration. The geographical locations and surrounding environment of XJH, DT and SSI are displayed in Fig. 1.

2.3 The WRF-Chem model

We simulate O₃ using the regional chemical transport model WRF-Chem (version 3.8, <https://www2.aocom.ucar.edu/wrf-chem>, last access: 19 July 2019), collaboratively developed through efforts of the National Center for Atmospheric Research (NCAR), the National Oceanic and Atmospheric Administration, the National Centers for Environmental Prediction (NCEP), the Forecast Systems Laboratory (FSL), the Air Force Weather Agency (AFWA), the Naval Research Laboratory, the University of Oklahoma, and the Federal Aviation Administration (FAA). The model includes online calculation of meteorological parameters, transport, mixing, emission, and chemical transformation of trace gases and aerosols (Grell et al., 2005). The Regional Acid Deposition Model version 2 (RADM2; Stockwell et al., 1990) gas-phase chemical mechanism is used for the

O₃ formation chemistry. Photolysis rates are calculated by using the fast radiation transfer module (FTUV) following those in Madronich and Flocke (1999) and Tie et al. (2003). The aerosol chemistry is calculated using the ISORROPIA II thermodynamic equilibrium model for inorganic aerosols (Fountoukis and Nenes, 2007) and the Secondary Organic Aerosol Model (SORGAM; Schell et al., 2001) for organic aerosols. Dry deposition follows the standard resistance-in-series model of Wesely (1989). The major physical processes employed in the model follow the Lin microphysics scheme (Lin et al., 1983), the Yonsei University (YSU) planetary boundary layer (PBL) scheme (Hong and Lim, 2006), the Noah Land Surface Model (Chen and Dudhia, 2001) and the longwave radiation parameterization (Dudhia, 1989).

The model used in this study has a horizontal resolution of 6 km × 6 km, including 150 unstaggered grids in a west–east orientation, 150 unstaggered grids in a south–north orientation and 35 vertical layers extending from the surface to 50 hPa. The domain encompasses Shanghai and its surrounding region, centered at 31.3° N, 121.4° E. The NCEP FNL (Final) Operational Global Analysis data are used for meteorological initial and boundary conditions, with the lateral meteorological boundary updated every 6 h. Basic chemical lateral boundary conditions are constrained by a global chemical transport model (MOZART-4, Model for OZone And Related chemical Tracers, version 4; Tie et al., 2001; Emmons et al., 2010). Anthropogenic emissions are derived from the Multi-resolution Emission Inventory for China (MEIC inventory, <http://www.meicmodel.org/>, last access: 11 December 2018; Li et al., 2014) for the year 2010. Biogenic emissions are calculated online using model of emissions of gases and aerosols from nature (MEGAN2, Model of Emissions of Gases and Aerosols from Nature; Guenther et al., 2006).

2.4 Methods for assessing the trend of ozone

The daily mean O₃ concentrations are used to examine the overall changes in O₃ concentrations during the period 2012–2017, including all times of day with qualified measurements. The trends are assessed using two nonparametric methods, which are commonly used to detect trends of non-normally distributed data with seasonality (Xu et al., 2016). The Mann–Kendall (MK) trend test (Mann, 1945; Kendall, 1975; Gilbert, 1987) is used to examine the trend significance, and the Theil–Sen trend estimate method (Sen, 1968) is used to estimate the slope of the trend, which could also be considered as the rate of change, during the 6-year period. Compared to the linear fitting analysis which requires data to be independent and follow a Gaussian distribution, the non-parametric trend test methods only need the data to be independent (Gocic and Trajkovic, 2013). To determine if the calculated rate of change is statistically significant, the confidence level of at least 95 % is adopted in the MK trend test, with an α value of less than 0.05 being considered a statistically significant trend. The trend significance is examined

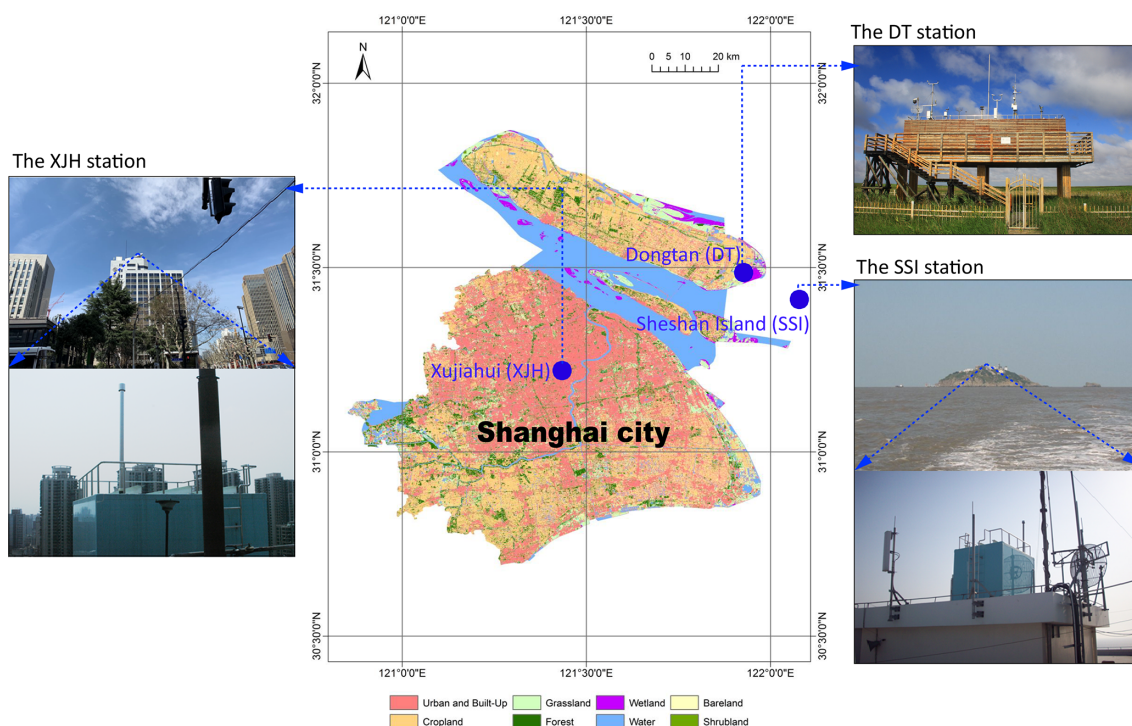


Figure 1. Land cover of Shanghai and corresponding locations and landscapes of the Xujiahui (XJH, urban), Dongtan (DT, rural) and Sheshan Island (SSI, remote and oceanic) stations.

by comparing the value of a standardized test statistic Z to that of a standard normal variate at a given significance level (Z_α , $\alpha = 0.05$). If $|Z| > Z_{1-\alpha/2}$, then the dataset is nonstationary, exhibiting either an increasing or a declining trend. If $|Z| \leq Z_{1-\alpha/2}$, then the dataset is stationary with no significant trend. A detailed calculation of Z can be found in Xu et al. (2016).

3 Results and discussion

3.1 Regional transport characteristics at SSI

The observed O_3 concentrations at SSI were inevitably influenced by regional transport depending on the prevailing winds in various seasons. Figure 2 displays the monthly wind rose diagrams averaged over the period of 2012–2017 at DT. As mentioned in Sect. 2.2, the DT site is a rural site located quite close to SSI. The observed wind speeds and wind directions could then be applied to deduce the origins of the air mass arriving at SSI in an adjacent region. Generally, observed prevailing winds exhibited distinct seasonal variabilities which were greatly affected by the East Asian monsoon. In warm seasons (May–August), the site was predominantly influenced by easterly and southeasterly winds, accounting for 40%–50% of the total winds. While in cold seasons (November–February), the northwesterly and northerly winds became the predominant flows that affected SSI, ac-

counting for about 45% of total winds. During transitional months (e.g., March, April, September and October), the dominant winds presented more diversities, with wind directions dispersedly distributed in all directions. The observed seasonal variations of prevailing winds are typical at coastal cities at the mid-latitude region (Shan et al., 2016; Xu et al., 2019), suggesting that air masses arriving at SSI originated from various regions and could result in different impacts on the offshore atmospheric composition in different months.

Since CO has a relatively long chemical lifetime of a few months, the observed CO concentrations at DT could be regarded as a consequence of regional transport from polluted regions (Tie et al., 2009). Figure 3 displays the observed monthly mean CO mixing ratios under wind directions of north (N), northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W) and northwest (NW) at DT during the 2012–2017 period. Observed CO exhibited relatively higher concentrations under SW and W winds in all months, with mean mixing ratios of 0.44 and 0.56 ppmv, respectively, from 2012 to 2017 (Table 1). The observed high CO mixing ratios suggested that the atmosphere constituents at SSI could be more affected by regional transport of air pollutants under SW and W wind conditions. As SSI is located to the northeast of Shanghai (Fig. 1), air masses carried by the SW and W flows usually contain more urban pollutants from upwind city areas, and those carried by E, SE and NE flows mostly come from the ocean. The oceanic air masses

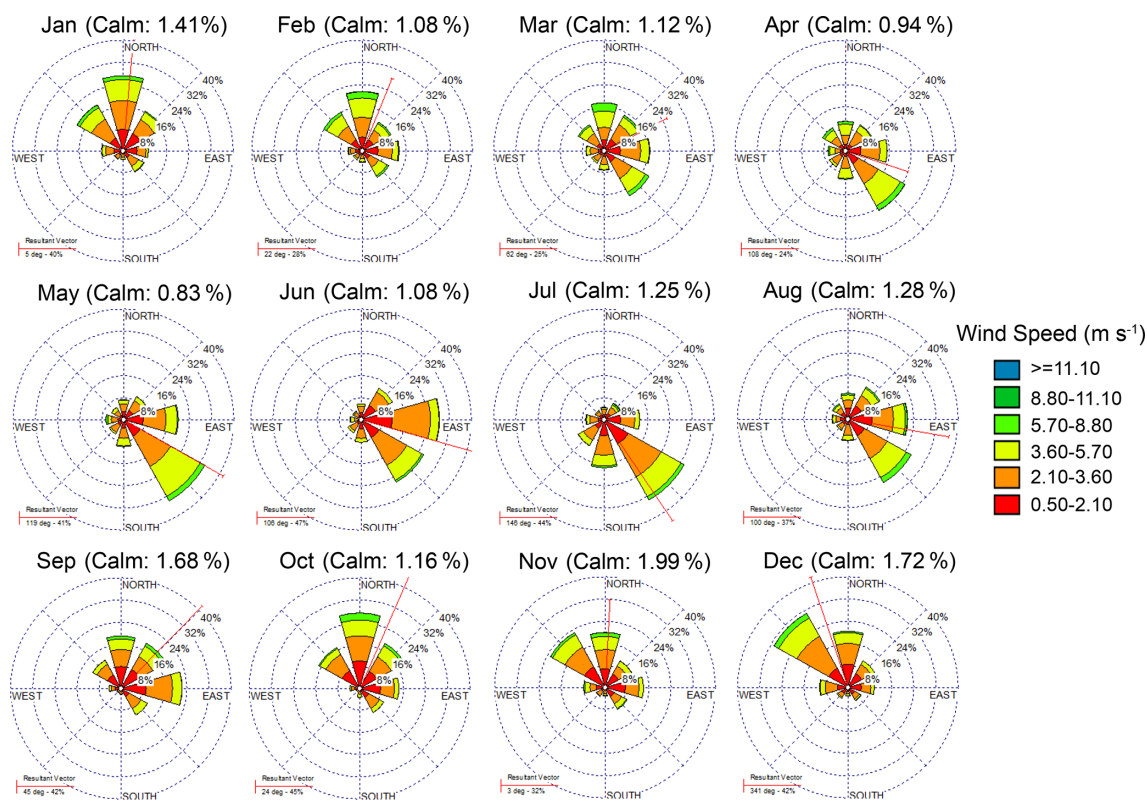


Figure 2. Monthly wind rose diagrams averaged over the period of 2012 to 2017 at Dongtan (DT) site, a remote rural site near Sheshan Island (SSI).

are less polluted compared to those from the cities, leading to a lower CO mixing ratio at SSI. For example, observed CO exhibited a mean concentration of 0.23 ppmv under SE wind conditions, which was about 50 % lower than that influenced by W winds. To further examine the impacts of the SW and W winds on the atmosphere constituents at SSI, Table 2 lists the calculated monthly mean occurrence frequency of the SW and W winds in separate months during the studied period. The SW and S winds were most infrequent in September (6.1 %) and October (5.2 %), suggesting that the atmosphere at SSI during the 2 months could be less contaminated by pollutants transported from the city and might be closer to the baseline oceanic air conditions.

3.2 The diurnal pattern of ozone at SSI

Figure 4 displays the monthly mean diurnal variations of O_3 at SSI and XJH in different months from 2012 to 2017. The observed O_3 concentrations at the two sites exhibited similar seasonal variations, with monthly mean values highest (61.4 ppbv for SSI and 35.9 ppbv for XJH) in May and lowest (33.4 ppbv for SSI and 12.5 ppbv for XJH) in December. Since the O_3 formation in urban Shanghai is VOC-limited, observed O_3 could be significantly depressed by large NO_x emissions at the downtown site (XJH) (Gu et al., 2020). In Fig. 4, observed O_3 levels at XJH were quite lower than those

at SSI in all months, with mean concentrations of 27.8 and 50.1 ppbv, respectively, at XJH and SSI during the observation period. The observed mean daily maximum 8 h average (MAD8) O_3 concentrations exhibited the same differences between the two sites, which were 40.1 and 62.0 ppbv, respectively, at XJH and SSI. The observed mean O_3 concentration at SSI was also higher than that at DT (44.7 ppbv, Fig. S1), which is closer to the city, suggesting that O_3 levels in marine air could be higher than those at continental urban and rural sites. The observed diurnal patterns of O_3 at SSI and XJH in Fig. 4 were similar to those reported for other sites in eastern China (Xu et al., 2008; Geng et al., 2015; Gao et al., 2017), exhibiting minimums in early morning (06:00–08:00 LST) and maximums in the afternoon (13:00–15:00 LST). However, compared to those at the urban site (XJH), observed amplitudes of O_3 diurnal variations were much smaller at SSI. The diurnal variations of surface O_3 can be mainly attributed to the O_3 production through photochemical reactions in the daytime and O_3 depression via NO titration at nighttime (Sillman, 2003). Due to few emissions of O_3 precursors (NO_x and VOCs), the O_3 production and depression could be weaker at the remote site, resulting in flatter diurnal cycle of O_3 compared to that at the polluted urban site.

Table 1. Mean CO mixing ratios (ppmv) under north (N), northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W), northwest (NW) and calm (C) wind conditions at the Dongtan (DT) site, a remote rural site near Sheshan Island (SSI), from 2012 to 2017.

	N	NE	E	SE	S	SW	W	NW	C
CO	0.31	0.27	0.25	0.23	0.27	0.44	0.56	0.38	0.34

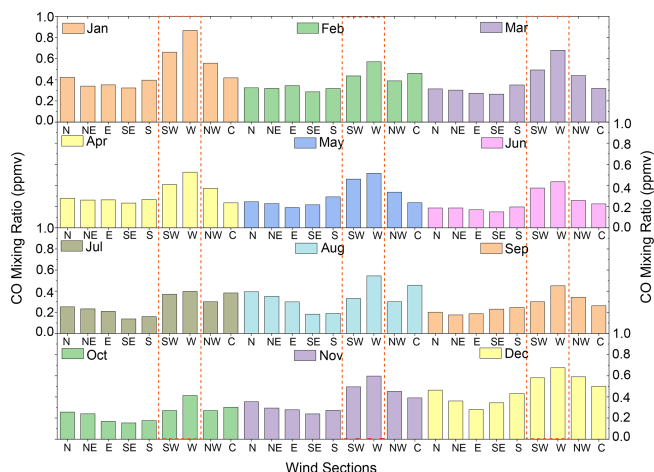


Figure 3. Monthly mean CO mixing ratios under north (N), northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W), northwest (NW) and calm (C) wind conditions at the Dongtan (DT) site, a remote rural site near Sheshan Island (SSI), from 2012 to 2017.

Since the amplitudes of O_3 diurnal variations usually exhibited much smaller values in background areas compared to those in polluted urban regions, the ratio of daily maximum O_3 concentration (O_{3-max}) to minimum O_3 concentration (O_{3-min}) was regarded as an indicator to identify if the local O_3 pollution was significantly influenced by anthropogenic emissions (Cvitas and Klasinc 1993; Vingarzan, 2004). The O_{3-max} / O_{3-min} ratio displayed larger values in polluted regions (Cvitas et al., 1995) and lower values in less contaminated rural regions. A ratio of about 1.4 suggested that the site could be regarded as a typical background site (Scheel et al., 1997). For regional background sites in China, the typical values of O_{3-max} / O_{3-min} were usually in the range of 2–3 (Xu et al., 2008; Meng et al., 2009; Gu et al., 2020). In Lin'an, a continental background site in the YRD region, the ratio was reported to increase as a result of NO_x emission changes during past decades, which could reach above 6 during summertime (Xu et al., 2008). In Fig. 4, observed O_3 displayed different diurnal variabilities in various months at SSI. The variations of the O_{3-max} / O_{3-min} ratio suggested a different influence of regional transport on O_3 levels in the marine atmosphere.

Figure 5 displays the calculated monthly mean O_{3-max} / O_{3-min} ratios at SSI and XJH, respectively, from 2012 to 2017. Generally, the observed ratios of

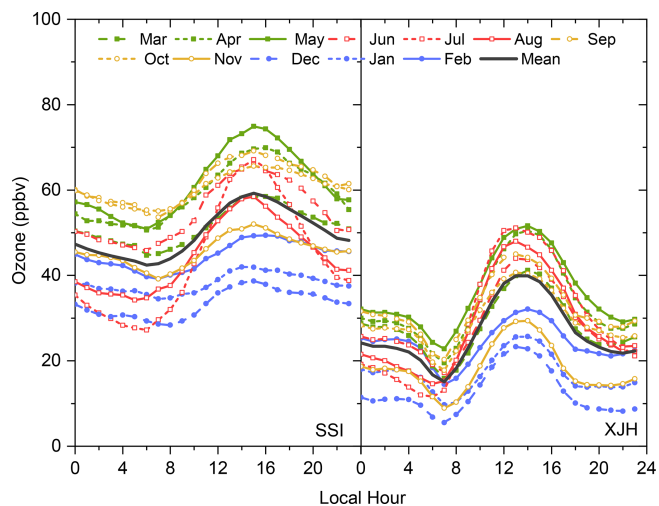


Figure 4. Monthly and year-round mean diurnal variations of O_3 (ppbv) at the Sheshan Island (SSI, remote and oceanic) and Xujiahui (XJH, urban) sites from 2012 to 2017.

O_{3-max} / O_{3-min} at SSI were much lower than those at XJH in all months, suggesting less of an impact of anthropogenic emissions on O_3 levels. The calculated mean ratios were 3.03 and 5.20, respectively, at SSI and XJH, and most of the calculated values were larger than 4.50 at the urban site. Besides, the ratios presented distinct seasonal differences at the XJH and SSI sites. Higher values were observed in summer, indicating stronger photochemical production of daytime O_3 from June to August. At SSI, the O_{3-max} / O_{3-min} ratio exhibited relatively low values in September and October, ranging from 1.61 to 2.35 during the studied period. The values were consistent with the typical values of O_{3-max} / O_{3-min} observed at continental background sites in China (Xu et al., 2008; Meng et al., 2009; Gu et al., 2020). Since the observed temperature and solar radiation still exhibited higher values during the 2 months in Shanghai (Gao et al., 2017), the observed low O_3 diurnal amplitudes should not be attributed to the weakened photochemical formation of O_3 as with those in winter. Due to the persistent control of an anticyclone, Shanghai and its neighboring areas are usually dominated by stable weather conditions in September and October, resulting in more gentle and diversified wind conditions. During the 2 months, the occurrences of more polluted SW and W winds were lowest (6.1 % and 5.2 %) throughout the year. The corresponding wind speed (2.49 and 2.50 m s^{-1})

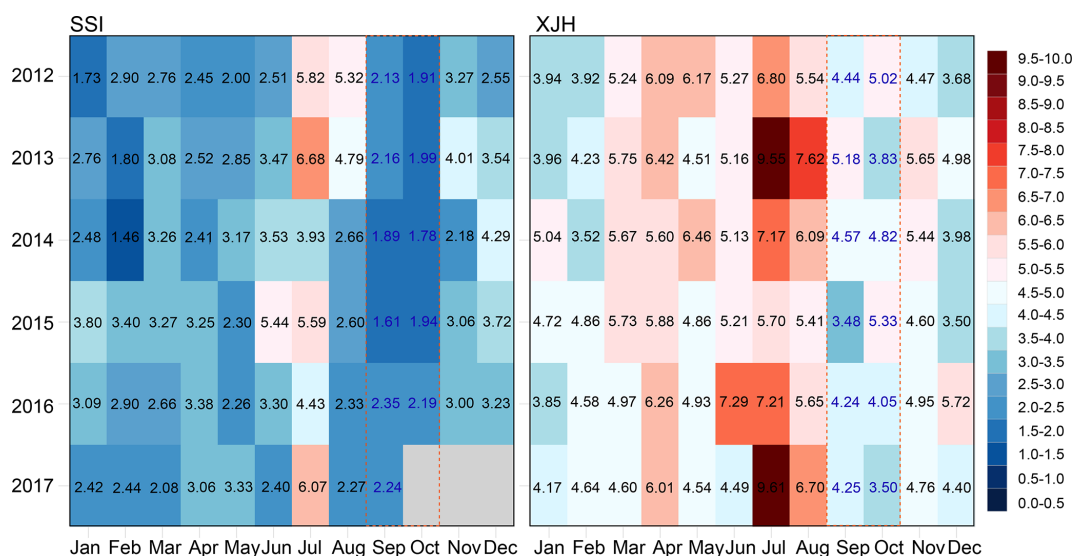


Figure 5. Calculated monthly mean ratios of daily maximum O_3 concentrations ($O_{3\text{-max}}$) to minimum O_3 concentrations ($O_{3\text{-min}}$) at the Sheshan Island (SSI, remote and oceanic) and Xujiahui (XJH, urban) sites, respectively, from 2012 to 2017.

also exhibited values 20 % lower than those in other months (Table 2). The transport conditions led to fewer pollutants transported to the SSI region, which could explain the observed weak diurnal variabilities of O_3 in September and October. The transport conditions together with an O_3 response further confirmed that the transport of city pollutants had minimum impacts on the offshore O_3 levels in oceanic air at SSI in September and October, providing a good proxy to study the baseline oceanic O_3 and oxidation capacity of background atmosphere in eastern China.

3.3 Overall changes of ozone in oceanic air at SSI

Several studies have observed increasing trends of ground-level O_3 in metropolitan areas over eastern China since 2013, suggesting that the O_3 increases were mostly attributed to the NO_x emission reductions (Ma et al., 2016; Gao et al., 2017; Lu et al., 2018; Li et al., 2019b). However, the O_3 changes at remote sites were relatively not well elucidated during past years. Figure 6a presents the monthly variations of O_3 concentrations at SSI and XJH during the 2012–2017 period. The statistical results of the MK test and Theil–Sen trend estimate method indicated that observed monthly mean O_3 mixing ratios ($O_{3\text{-ave}}$) exhibited increasing changes at both urban (XJH) and remote sites (SSI) in Shanghai, with a calculated increasing rate of 1.97 and 1.12 $ppbv\ yr^{-1}$, respectively, in XJH and SSI. Though an overall upward trend of O_3 was detected at SSI, the changes were not as remarkable as those observed at XJH, which could not even pass the MK trend test at the 90 % confidence level. The monthly mean MDA8 and daily extreme values of O_3 exhibited similar differences between the two sites. The calculated increasing rates of MDA8 O_3 , $O_{3\text{-max}}$ and $O_{3\text{-min}}$ were 2.73, 2.77 and

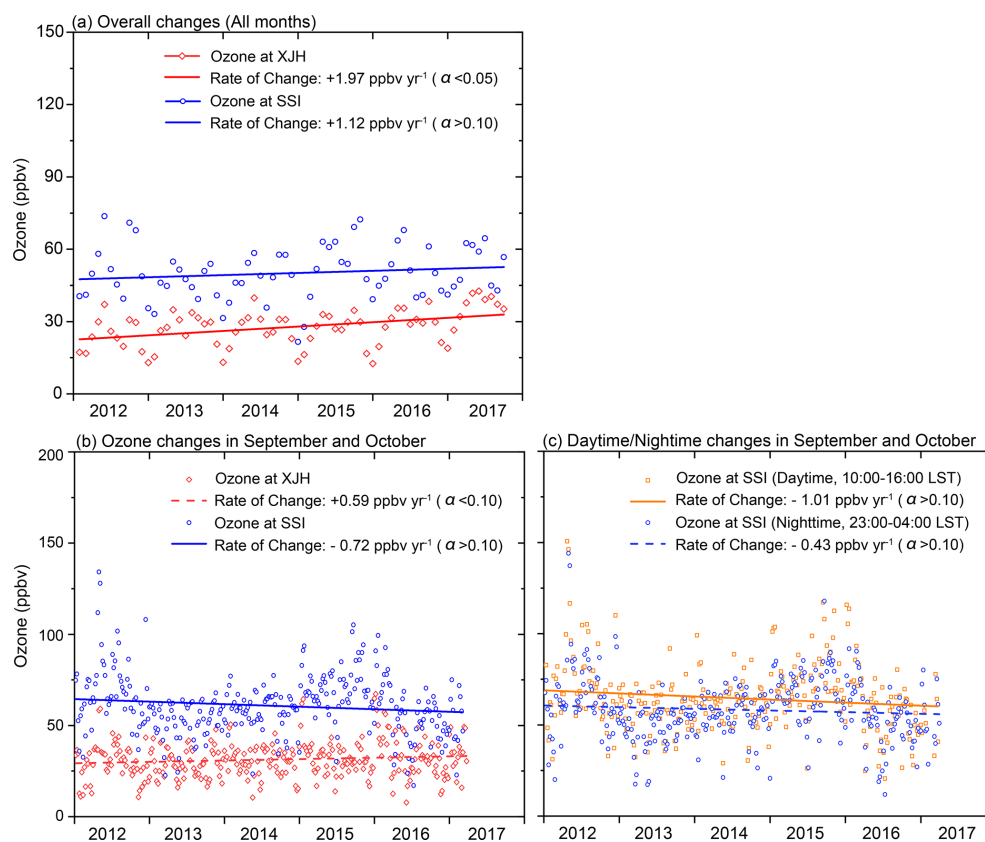
1.35 $ppbv\ yr^{-1}$ ($\alpha < 0.05$), respectively, at XJH and 1.01, 1.35 and 1.27 $ppbv\ yr^{-1}$ ($\alpha > 0.10$), respectively, at SSI. Compared to the rapid O_3 increases in urban Shanghai, which was suggested to mostly result from the significant NO_x emission reductions in the past decade (Gao et al., 2017; Xu et al., 2019), the statistically insignificant changes of O_3 detected at SSI indicated that O_3 in the oceanic air remained at a relatively constant level during the study period and was less influenced by the decreases of NO_x emissions.

As discussed in Sect. 3.1, the prevailing winds carried different levels of pollutants to SSI, resulting in different impacts on the O_3 levels in different months. In September and October, the frequencies of SW and W winds that carried high levels of pollutants were lowest (Tables 1–2), exerting the least amount of influence on the atmospheric composition at SSI. Therefore, the variations of surface O_3 concentrations in September and October at SSI were examined to further assess the changes of the least contaminated O_3 in the oceanic air. Figure 6b presents the overall changes of daily mean surface O_3 concentrations in September and October at SSI and XJH, respectively, during the 6-year period. The corresponding mean O_3 mixing ratios during the 2 months were 60.9 and 31.3 $ppbv$, respectively, at SSI and XJH. Compared to the significant elevated O_3 concentrations at XJH (0.59 $ppbv\ yr^{-1}$, $\alpha < 0.10$) in September and October, observed O_3 at SSI during the same months exhibited insignificant decreasing changes from 2012 to 2017. The changes ($-0.72\ ppbv\ yr^{-1}$, $\alpha > 0.10$) were somewhat different from the overall O_3 changes ($+1.12\ ppbv\ yr^{-1}$, $\alpha > 0.10$) at SSI, suggesting different causes of the observed O_3 changes in the oceanic air during September and October.

To investigate possible drivers of the observed changes in the least contaminated O_3 in September and October at

Table 2. Monthly mean wind speeds (m s^{-1}) and occurrence frequencies (%) of the southwest (SW) and west (W) winds at the Dongtan (DT) site, a remote rural site near Sheshan Island (SSI), from 2012 to 2017.

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
SW+W	11.5	9.2	11.9	13.2	12.7	9.8	17.7	10.8	6.1	5.2	11.9	15.1
Wind speed	2.70	2.93	2.98	3.04	2.86	2.51	2.65	2.77	2.49	2.50	2.55	2.54

**Figure 6.** Variations of (a) monthly mean O_3 concentrations at the Sheshan Island (SSI, remote and oceanic) and Xujiahui (XJH, urban) sites during the period 2012–2017. (b) Corresponding variations of daily mean O_3 concentrations at SSI and XJH in September and October. (c) Variations of mean O_3 concentrations during daytime (10:00–16:00 LST) and nighttime (23:00–04:00 LST) at SSI.**Table 3.** Statistical results of the Mann–Kendall test and Theil–Sen trend estimate for daily mean values of NO_x , CO mixing ratios, temperature (T) and wind speed (WS) in September and October at the Dongtan (DT) site, a remote rural site near Sheshan Island (SSI), during the 2012–2017 period. The units of the calculated slopes are ppbv yr^{-1} for NO_x and CO, $^{\circ}\text{C yr}^{-1}$ for T and $\text{m s}^{-1} \text{yr}^{-1}$ for WS.

	NO_x	CO	T	WS
Slope estimate	0.48 ^a	2.67 ^b	0.15 ^b	0.21 ^a

^a The result is significant at the 95 % confidence level. ^b The result cannot pass the Mann–Kendall trend test at the 90 % confidence level.

SSI, Table 3 displays the statistical results of the MK test and Theil–Sen trend estimate for NO_x and CO mixing ratios, temperature and wind speed during the 2012–2017 period. Statistically significant upward trends were detected in wind speed, with estimated increasing rates of $0.21 \text{ m s}^{-1} \text{yr}^{-1}$ during the observation period ($\alpha < 0.05$). The significantly enhanced surface wind speeds were conducive to the diffusion of O_3 , which might be an important meteorological driver of the observed decreasing changes in O_3 levels at SSI from 2012 to 2017. Observed NO_x and CO levels exhibited increases of $0.48 \text{ ppbv yr}^{-1}$ ($\alpha < 0.05$) and $2.67 \text{ ppbv yr}^{-1}$ ($\alpha > 0.10$), respectively, in September and October during the 6-year period, indicating enhanced transport of pollutants to the oceanic area. Tie et al. (2013) suggested that the VOC-limited regime of O_3 formation was not only con-

fined to urban Shanghai but also extended to a broader regional area surrounding Shanghai. Thus, the elevated NO_x concentrations might not only retard daytime O_3 production but also enhance nighttime O_3 depression at SSI. Figure 6c further presents corresponding variations of daytime (10:00–16:00 LST) and nighttime (23:00–04:00 LST) mean O_3 concentrations at SSI. Both daytime and nighttime O_3 concentrations exhibited downward changes, reflecting the O_3 response to the enhanced O_3 diffusion and depression in September and October. Therefore, the enhanced diffusion and depression of O_3 induced by the elevated wind speed and NO_x concentrations might be important causes of the observed O_3 changes in September and October at SSI. It should be noted that the influence of radiation cannot be analyzed since observations of solar radiation were not available during the study period. Therefore, more measurements are still needed to further understand the O_3 changes and corresponding drivers in the oceanic air.

3.4 Impacts of urban plumes on ozone in oceanic air at SSI

Due to the relatively long residence lifetime (about 1 month), O_3 produced at urban regions could be transported several hundred kilometers away to downwind areas. Meanwhile, the urban plumes become more aged with continuous production and depletion of O_3 and its precursors, resulting in nonlinear changes in O_3 in downwind areas (Geng et al., 2011; Tie et al., 2009, 2013). Several studies suggested that there tended to be considerable O_3 formations in aged urban plumes in the downwind region of Shanghai (Geng et al., 2011; Tie et al., 2013). To investigate the impacts of urban plumes on the O_3 levels in oceanic air at SSI, the relationships between observed O_3 and NO_x under different wind conditions at SSI and DT are investigated in this section.

Figure 7 presents the daytime and nighttime O_3 – NO_x wind relationships in MAM (March–May), JJA (June–August), SON (September–November) and DJF (December–February), respectively, from 2012 to 2017. The SW and W winds were associated with higher NO_x concentrations in both daytime and nighttime. The result was consistent with the observed CO changes in Sect. 3.1. Since there is no local anthropogenic emission at SSI, the higher levels of NO_x and CO mainly resulted from the transport of more polluted urban plumes by the SW and W winds. Generally, observed daytime O_3 and NO_x concentrations presented opposite variations with the wind direction changes (Fig. 7a). In SON and DJF, the correlation coefficients (R_s) between daytime O_3 and NO_x were -0.72 and -0.75 , respectively, indicating that the O_3 formation was inhibited by increased NO_x concentrations. The results are in accordance with Tie et al. (2013) and Xu et al. (2019), who suggested that Shanghai and a broader regional area surrounding the city were all in the VOC-limited O_3 formation regime during the study period. However, in MAM and JJA, the daytime O_3 – NO_x

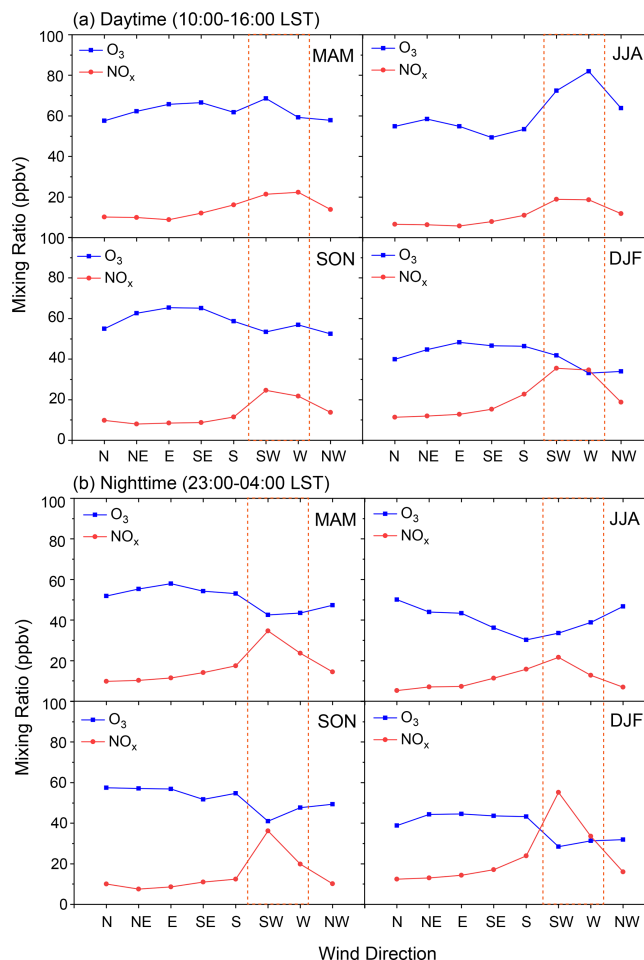


Figure 7. Daytime and nighttime mean O_3 mixing ratios (ppbv) at Sheshan Island (SSI) and NO_x mixing ratios (ppbv) at the Dongtan (DT) site, a remote rural site near SSI, under north (N), northeast (NE), east (E), southeast (SE), south (S), southwest (SW), west (W) and northwest (NW) wind conditions in MAM (March–May), JJA (June–August), SON (September–November) and DJF (December–February), respectively, from 2012 to 2017.

variations presented totally different patterns under SW and W wind conditions. As wind directions turned from E–SE to SW–W, observed mean NO_x concentrations increased from about 10–20 ppbv, while observed mean O_3 concentrations increased from 50–60 to 70–80 ppbv. The enhancements in daytime O_3 levels suggested that there should be persistent production of O_3 in the polluted air masses carried by the SW and W winds in MAM and JJA.

Based on observations and WRF-Chem simulations, Tie et al. (2013) suggested considerable O_3 production in aged city plumes in the downwind area of Shanghai. Since air masses the affecting SSI site were directly originated from Shanghai under the SW and W wind conditions (Fig. 1), the observed O_3 enhancements should be mainly attributed to the O_3 production in the city plumes carried by SW and W winds.

Studies during the MIRAGE-Shanghai campaign suggested several factors that contributed to the O₃ enhancements in aged city plumes downwind of Shanghai. First, as there is a large area of forest located in the south of Shanghai, Geng et al. (2011) suggested that continuous oxidation of isoprene emitted by the biogenic sources could result in enhanced production of hydrogen radicals (HO₂) especially in warm seasons. Once the air mass was transported north and mixed with high NO_x emissions, O₃ would be quickly produced. However, the impacts of biogenic emissions on O₃ production were mainly limited in the southern part of Shanghai, which can hardly influence the atmosphere in the SSI region. Then, Tie et al. (2013) further illustrated that the OH reactivity of alkane, alkene, aromatics and oxygenated VOCs (OVOCs) contributed to the O₃ formation in city plumes. Among them, the influence of alkane, alkene and aromatics mostly occurred within or near the city, while the OVOCs could be produced or emitted during the transport of the city plumes, resulting in substantial O₃ enhancements in aged city plumes 100–200 km downwind of Shanghai.

SSI is located approximately 100 km northeast from the downtown area of Shanghai. In MAM and JJA, the SW and W winds carried air masses with enhanced OVOC oxidation and O₃ production, resulting in elevated daytime O₃ levels on the island, while in SON and DJF, the observed O₃ decreases at SSI during SW and W winds suggested lower efficiency of O₃ productivity in the city plumes. That might be because fewer OVOCs were released or produced downwind of the city due to the lower temperature and weaker solar radiation (Cai et al., 2009). In addition, in SON and DJF, the SW and W winds were usually related to a low-pressure system with large cloud cover and rich water vapor in Shanghai, which could also lead to depressed photochemical reactions and decreased O₃ levels. At night, observed O₃ and NO_x displayed totally opposite changes with wind directions (Fig. 7b), indicating O₃ depression by nighttime NO_x titration in all the seasons. High O₃ levels were observed under northeasterly, easterly and southeasterly oceanic wind conditions, ranging from 50–60, 30–55, 55–60 and 40–50 ppbv, respectively, at night in MAM, JJA, SON and DJF.

3.5 Impacts of offshore ozone on urban ozone air quality in Shanghai

As is presented in Sects. 3.2 and 3.3, observed O₃ concentrations at SSI were much higher than those at the urban site (XJH), suggesting higher levels of O₃ in oceanic air than those on the continent. Therefore, sea breezes tend to bring more O₃ to the continent, aggravating O₃ pollution in coastal cities. Shanghai is one of the largest cities located on the eastern coast of China, experiencing severe O₃ pollution in recent years (Xu et al., 2019; Gu et al., 2020). According to the cluster analysis results (Fig. S2), easterly winds from the ocean greatly affected the Shanghai region, accounting for 64%–78% of the total flows in non-winter months during

the period 2012–2017. To understand the impacts of higher O₃ in oceanic air on the urban air quality, numerical experiments are conducted using the WRF-Chem model to examine the response of O₃ levels in Shanghai to various oceanic air inflow conditions in this section.

Simulations were performed from 1 to 30 September 2014 when the prevailing winds were mostly northeasterly and easterly in the Shanghai region. The occurrence frequencies of the northeasterly and easterly winds were 23% and 27%, respectively, during the simulation period, suggesting the dominant influence of the oceanic air inflows to Shanghai. Consistent with the above analysis, observed air O₃ concentrations were much higher in oceanic regions than those in city areas, with monthly mean values of 30.9 and 57.7 ppbv, respectively, at XJH and SSI in September 2014. The chemical boundary conditions (BCs) of the regional model can represent the inflows conditions to explore their impacts on surface concentrations of air pollutants over a certain continent region. Using this method, Pfister et al. (2011) proposed that chemical inflows taken from different observational and model datasets could result in differences of ±15 ppbv in O₃ levels on the US west coast. Therefore, three sets of numerical experiments are conducted as follows to assess the impacts of oceanic O₃ air inflows on the urban O₃ air quality in Shanghai. All the simulations are driven by the same emissions, initial conditions, and physical and chemical schemes.

1. *BC_40*. O₃ concentrations at the eastern lateral boundary of the domain on the ocean are assigned to 40 ppbv, which is provided by the MOZART-4 model, close to the observed urban O₃ levels (29.0–38.4 ppbv) in Shanghai in September. The chemical BCs are updated every 6 h.
2. *BC_50*. Same as *BC_40* but with O₃ concentrations setting to 50 ppbv at the eastern lateral boundary of the domain.
3. *BC_60*. Same as *BC_40* but with O₃ concentrations at the eastern lateral boundary of the domain setting to 60 ppbv according to the observed O₃ levels at SSI (50.9–71.0 ppbv) in September.

Figure 8 displays the simulated and observed monthly mean distributions of surface O₃ concentrations in the *BC_40*, *BC_50* and *BC_60* scenarios, respectively. In addition to the observations at XJH and SSI, O₃ measurements obtained from other three sites, Pudong (PD, suburban), She-shan (SS, rural) and Dongtan (DT, rural), during the same period were introduced to evaluate the model's performance in simulating O₃ in Shanghai. The O₃ concentrations at all the sites were measured using the same method as described in Sect. 2.1. The calculated distributions of O₃ agree with observations, which exhibit lower values in urban regions compared to those in rural and ocean areas, indicating strong O₃ depressions in Shanghai due to the VOC-limited O₃ forma-

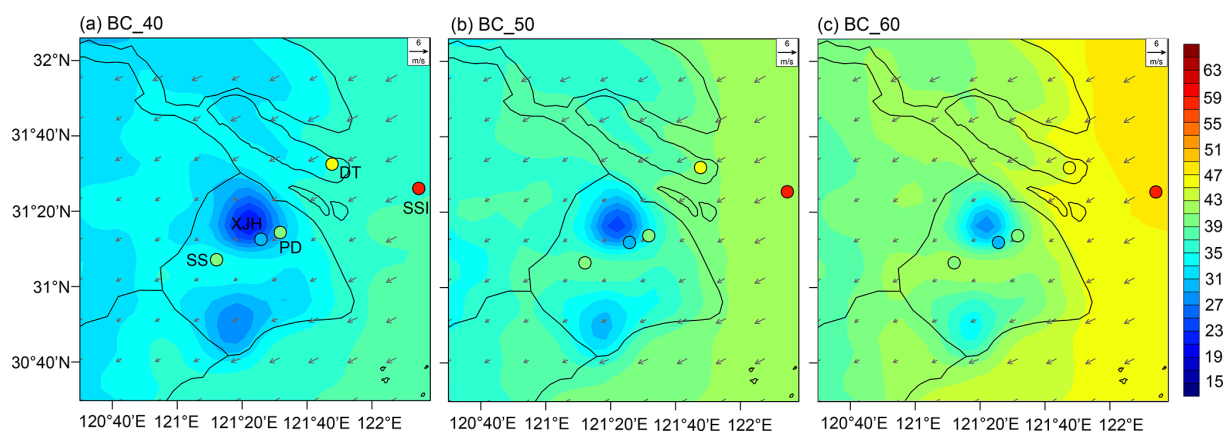


Figure 8. Calculated distributions of monthly mean O_3 concentrations (shades, ppbv) from BC_40, BC_50 and BC_60 simulations, respectively, in September 2014. Model results are compared with observed mean O_3 concentrations (circles, ppbv) obtained from the Sheshan (SS), Xujiahui (XJH), Pudong (PD), DT (Dongtan) and Sheshan Island (SSI) sites. Also shown is the calculated wind field (m s^{-1}) averaged over the same period.

tion regime. The R values between the simulated and observed O_3 concentrations are all larger than 0.50 at continental sites (XJH, PD, SS and DT), suggesting good prediction of O_3 variations by the model.

Table 4 displays the statistical results of the comparisons between the simulated and observed surface O_3 concentrations at different sites in Shanghai. Generally, the WRF-Chem model underestimates O_3 concentrations at all the sites in most cases. Taking the BC_40 scenario, for example, the O_3 concentrations are underestimated by 9.4%–27.6% at continental sites and 36.1% at SSI, suggesting a larger underestimation of O_3 concentrations in oceanic regions. Model results further suggest that elevated O_3 levels in the eastern chemical BCs would lead to increases in O_3 concentrations at both urban and remote sites when the prevailing winds are mostly easterly in Shanghai. With O_3 concentrations increasing from 40 to 60 ppbv in the easterly oceanic air inflows, the simulated monthly mean O_3 concentrations increase by 7.0 to 9.7 ppbv at continental sites and 10.4 ppbv at SSI. The underestimation of O_3 levels by the model is also greatly improved in the BC_60 scenario, when the chemical BCs of O_3 are closer to the observations. Compared to those in the BC_40 scenario, the normalized mean bias (NMB) of the predicted O_3 concentrations reduced at most sites in the BC_60 scenario, for example from -36.1% to -18.1% at SSI and -27.6% to -4.6% at XJH, suggesting a crucial role of the eastern oceanic air inflows in influencing O_3 air quality in Shanghai.

The calculated monthly mean differences in surface O_3 concentrations between simulations in different scenarios are further presented in Fig. 9. Since the dominant winds are easterly during the simulation period, distinct changes in surface O_3 concentrations throughout Shanghai are generated, exhibiting generally gradient increases from the ocean to the continent as O_3 increases in the oceanic air inflows. With

every 10 ppbv increase in O_3 levels in oceanic air, the simulated surface mean O_3 concentrations increase by 3–6 ppbv in the land area and 4–7 ppbv in the offshore region. Due to the strong O_3 depressions associated with high anthropogenic emissions, the simulated O_3 enhancements are relatively lower in the central urban region compared to those in surrounding areas. Even so, simulated mean O_3 concentrations still exhibit 6–8 ppbv increases in downtown Shanghai in the BC_60 scenario, accounting for approximately 30% of the simulated O_3 concentrations in the BC_40 case. During the period 2012–2017, most of the measured O_3 concentrations ranged between 50 and 60 ppbv at SSI in non-winter seasons. Carried by the easterly inflows, these oceanic air masses with higher O_3 levels (50–60 ppbv) could be transported to the coastal regions, resulting in approximately 20%–30% increases in urban O_3 concentrations in Shanghai according to the sensitivity results.

4 Conclusions

In this paper, we present the first relatively long and continuous measurements of oceanic air O_3 conducted at an offshore monitoring station on Sheshan Island from 1 January 2012 to 15 September 2017. The southwesterly and westerly winds proved to carry more pollutants to the SSI site, exerting greater influence of human activities on the oceanic atmosphere over the offshore region of the East China Sea. Since the two kinds of winds exhibited minimum occurrence frequencies and wind speeds in September and October, atmosphere at SSI during the 2 months are considered to be less affected by the transport of regional pollution.

Compared to those in urban (XJH) and rural (DT) sites, the observed O_3 levels were higher at SSI, with a mean value of 50.1 ppbv during the observation period. Similar seasonal and diurnal patterns of O_3 were observed at SSI and XJH;

Table 4. Statistical results of the comparisons between the simulated and observed surface O₃ concentrations at the Sheshan (SS), Xujiahui (XJH), Pudong (PD), DT (Dongtan) and Sheshan Island (SSI) sites during September 2014. The calculated O₃ levels are obtained from BC_40, BC_50 and BC_60 simulations, respectively. Values of the average surface O₃ concentrations (Mean) and normalized mean bias (NMB) are displayed. The NMB is defined as $NMB = \frac{\sum_{i=1}^n (P_i - O_i)}{\sum_{i=1}^n O_i}$, where P_i and O_i are predicted and observed ozone mixing ratios for sample i , n is the number of total samples (numbers in parentheses).

Cases		SS (681)	XJH (641)	PD (690)	DT (690)	SSI (720)
Mean (ppbv)	Observation	39.7	30.4	40.3	46.4	57.7
	BC_40	36.0	22.0	29.5	35.3	36.9
	BC_50	39.1	25.1	33.3	39.6	41.8
	BC_60	43.1	29.0	37.9	45.0	47.3
NMB (%)	BC_40	−9.4	−27.6	−26.7	−23.9	−36.1
	BC_50	−1.5	−17.5	−17.2	−14.5	−27.5
	BC_60	8.6	−4.6	−5.9	−3.0	−18.1

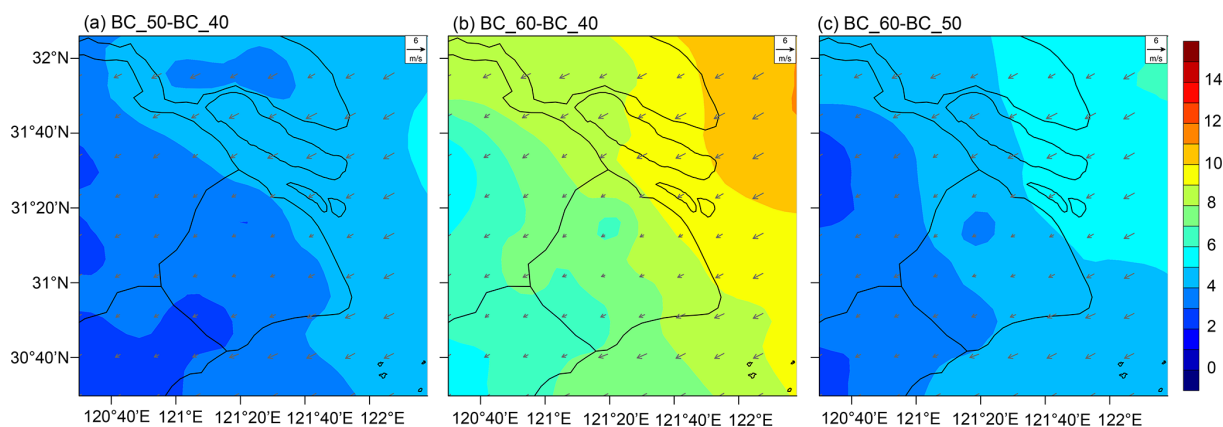


Figure 9. Mean differences in surface O₃ concentrations (ppbv) simulated with different chemical boundaries: (a) BC_50 minus BC_40, (b) BC_60 minus BC_40 and (c) BC_60 minus BC_50 in September 2014. Also shown is the calculated wind field (m s^{-1}) averaged over the simulation period.

however, the amplitudes of O₃ variations were much smaller at the offshore site (SSI). Since O₃ formation in Shanghai and its surrounding regions were VOC-limited, the observational results suggested that the production and depression of O₃ could be weaker in the ocean regions due to the weak influence of the anthropogenic emissions. Observed mean O_{3-max} / O_{3-min} ratios also exhibited lower values at SSI (3.03) than those at XJH (5.20), with minimum values ranging from 1.61 to 2.35 in September and October. The result further illustrated that SSI was seldom affected by the anthropogenic emissions, especially in September and October.

The multiyear changes of the oceanic O₃ at SSI are investigated using the Mann–Kendall trend test and the Theil–Sen trend estimate method from 2012 to 2017. Different from the significant O₃ increases detected at XJH and other rural sites reported in previous studies, the observed mean O₃ concentrations at SSI exhibited statistically insignificant increasing changes ($1.12 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) during the observation period and insignificant decreasing changes ($-0.72 \text{ ppbv yr}^{-1}$, $\alpha > 0.10$) in September and October when the transport of

city pollutants had minimum impacts on the island. Due to fewer impacts of anthropogenic emissions, most of the observed changes in O₃ at SSI could be attributed to the changes of meteorological conditions. Observed wind speed exhibited significant increases ($0.21 \text{ m s}^{-1} \text{ yr}^{-1}$, $\alpha < 0.05$) in September and October during the observation period, suggesting that enhanced diffusion conditions could be an important meteorological factor in determining the decreases in O₃ concentrations during the observation period.

The impacts of urban plumes on O₃ levels in oceanic air at SSI are evaluated by studying the relationships between observed O₃ and NO_x under different wind conditions. The SW and W winds usually carried air masses with higher NO_x concentrations in both daytime and nighttime to the island. Generally, observed daytime and nighttime O₃ concentration decreased as NO_x concentration increased in SW and W winds, exhibiting typical VOC-limited characteristics of O₃ formation. The pattern was more typical in SON and DJF, with R values of -0.72 and -0.75 , respectively, between O₃ and NO_x concentrations. In MAM and JJA, the daytime O₃–

NO_x variations presented a kind of a positive relationship under SW and W wind conditions, suggesting continuous O₃ production in aged city plumes from Shanghai. As reported in previous studies during the MIRAGE-Shanghai campaign, enhanced OVOCs oxidation should be the most important driver of the observed O₃ enhancements in the city plumes transported by the SW and W winds.

The influence of the oceanic O₃ air inflows on urban O₃ air quality in Shanghai are quantified during an easterly wind dominant episode (1–30 September 2014). Numerical experiments are conducted with chemical BCs of O₃ assigned according to different inflow conditions using the WRF-Chem model. Model results suggest that increases of O₃ in the easterly oceanic air inflows will lead to gradient increases from the ocean to the continent. With every 10 ppbv O₃ increase, the calculated surface mean O₃ concentrations can increase by 3–6 ppbv in the land and 4–7 ppbv in the offshore region. Compared to those in surrounding regions, O₃ in central Shanghai exhibited lower enhancements in response to the O₃ increases in oceanic air inflows due to strong O₃ depression processes. Even so, the impacts of the oceanic air inflows can still lead to 20%–30% increases in urban O₃ concentrations which should be crucially considered in dealing with O₃ pollution in large coastal cities like Shanghai.

Data availability. The data used in this paper can be provided upon request from Dr. Jianming Xu (metxujm@163.com).

Supplement. The supplement related to this article is available online at: <https://doi.org/10.5194/acp-20-14361-2020-supplement>.

Author contributions. YG and JX came up with the original idea, designed the analysis methods, developed the model code and performed the simulations. WG provided the observational data. YG and YQ conducted the analysis of the observations and model results. YG prepared the paper with contributions from all coauthors.

Competing interests. The authors declare that they have no conflict of interest.

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